

RUB

**RUHR-UNIVERSITÄT** BOCHUM

# **Fundamental Aspects of Materials** Science and Engineering

Summer term 2025: Update Lecture

**Multinary Phases** 

Prof. Dr.-Ing. Alfred Ludwig

Materials Discovery and Interfaces

# Unexplored compositionally complex alloys

11

Na

37 Rb

55 Cs

87

Fr



Compositionally complex alloys: > 4 elements

**Steels** 

**Superalloys** 

**High-entropy alloys** 

Multiple principal element alloys

**Metallic glasses** 

Number of combinations of n=50 elements

(n/k) = n!/(k!(n-k)!)

Binaries: 1225

emaries: 19600

(information on 7380 systems)

Quaternaries: 230000

**Quinaries:** 

2.118.760

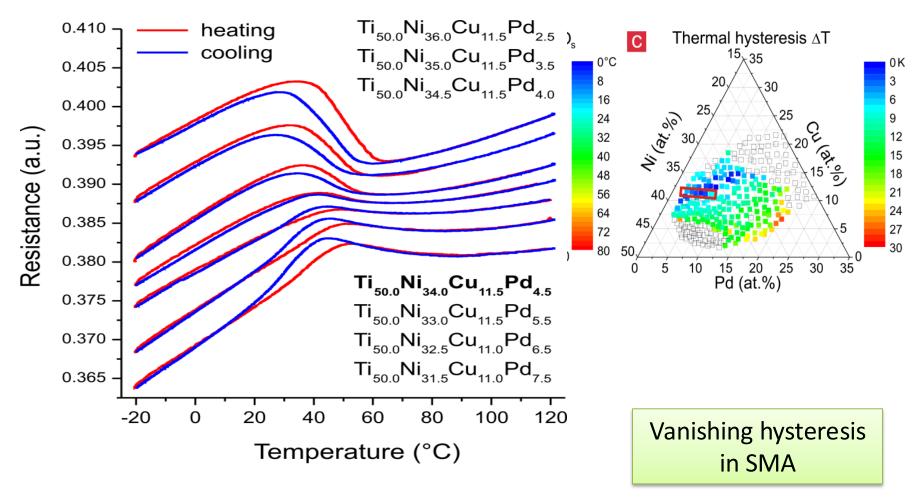
"Combinatorial explosion" for r



Combinatorial and high-throughput methods for the investigation of novel materials

# **Exemplaric results for intermetallic systems: Quaternary shape memory alloys**





**Prof. Dr.-Ing. A. Ludwig** | www.rub.de/wdm | R. Zarnetta, R. Takahash, V. Srivastava, M. L. Young, A. Savan, Y. Furuya, S. Thienhaus, B. Maaß, M. Rahim, J. Frenzel, H. Brunken, Y. S. Chu, R. D. James, I. Takeuchi, G. Eggeler, A. Ludwig (2010), *Identification of quaternary shape memory alloys with "zero" thermal hysteresis and unprecedented functional stability*, **Advanced Functional Materials**, 20, 1917 – 1923.

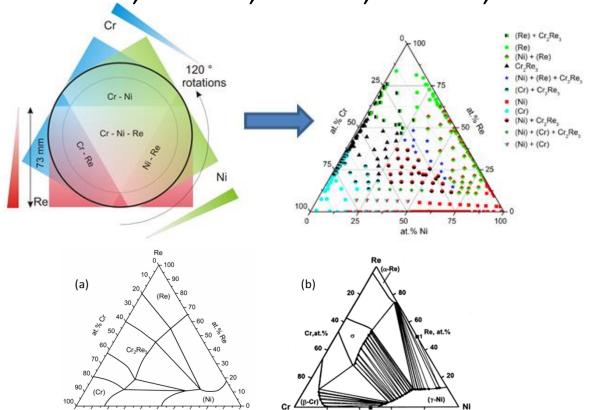
Combinatorial materials research for novel intermetallic alloy systems

# Understanding of the influence of alloying elements on phase stabilities in Ni- and Co-based superalloys in ternary model systems (TCP phases)



- high-temperature processing of materials libraries (> 1000°C)
- identification of compositions showing particular phases / or properties
- high-throughput oxidation studies

Cr-Ni-Re, Co-Ti-W, Ni-Al-Cr, Co-Al-W, ...





Ar overpressure to lower sublimation of elements like Al, Mn at high temperatures



## **Multinary phases**

# High entropy alloys

"Alloying has long been used to confer desirable properties to materials.

Typically , it involves the addition of relatively small amounts of secondary

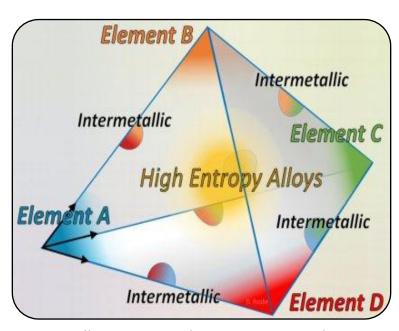
elements to a primary element."

e.g. Fe alloys, Al alloys, Ti alloys, Ni alloys and so on

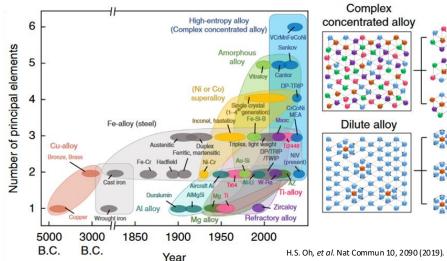
#### new alloying strategy:

combination of multiple principal elements in high concentrations (often equiatomic) to create "high-entropy alloys"

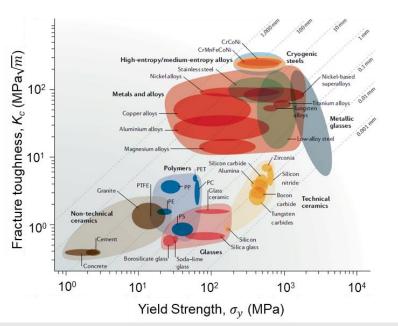
Large search space is offered, some promising materials already discovered, many more expected



https://www.dierk-raabe.com/high-entropy-alloys-overview/



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# RUHR-UNIVERSITÄT BOCHUM Multinary phases

# High entropy alloys

J. W. Yeh et al. provided a rationale for HEAs:

they hypothesized that the presence of multiple (five or more) elements in near-equiatomic proportions would increase the configurational entropy of mixing by an amount sufficient to overcome the enthalpies of compound formation, thereby deterring the formation of potentially harmful intermetallics.

This was a counterintuitive notion because the conventional view — likely based on binary phase diagrams in which solid solutions are typically found at the ends and compounds near the centres — was that the greater the number of elements in concentrated alloys, the higher the probability that some of the elements would react to form compounds.

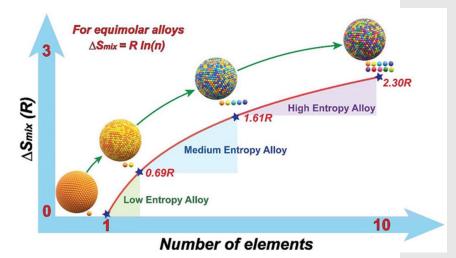
But Yeh et al. reasoned that, as the number of elements in an alloy increased, the entropic contribution to the total free energy would overcome the enthalpic contribution and, thereby, stabilize solid solutions.

Single-phase, solid-solution HEAs with face-centred cubic (**fcc**), body-centred cubic (**bcc**),

hexagonal close- packed (hcp) and orthorhombic crystal structures have been identified.

HEA: 5 or more elements in relatively high concentrations (5–35 at.%)

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H. Liu, et al., SusMat.,1:482-505 (2021).

Yeh, J. W. et al.

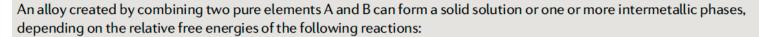
Nanostructured high-entropy alloys with multiple principal elements: novel alloy design concepts and outcomes.

Adv. Eng. Mater. 6, 299–303 (2004).

### **Multinary phases**

# High entropy alloys

#### Box 1 | Thermodynamics of phase stability



A + B = AB (solution): 
$$\Delta G_{\text{mix}} = \Delta H_{\text{mix}} - T\Delta S_{\text{mix}}$$
 (1)

A + B = AB (intermetallic): 
$$\Delta G_f = \Delta H_f - T\Delta S_f$$
 (2)

where  $\Delta G_{\text{mix}}$ ,  $\Delta H_{\text{mix}}$  and  $\Delta S_{\text{mix}}$  are the Gibbs free energy, enthalpy and entropy of mixing, respectively;  $\Delta G_{\text{f}}$ ,  $\Delta H_{\text{f}}$  and  $\Delta S_{\text{f}}$  are the corresponding values for the formation of an intermetallic compound with AB stoichiometry; and T is the absolute temperature. If, instead, two intermetallic compounds with different stoichiometries form (such as AB<sub>2</sub> or A<sub>2</sub>B), additional expressions similar to Eq. 2 are needed for each compound.

At thermodynamic equilibrium, the phases present in the alloy depend on whether the Gibbs free energy of mixing (Eq. 1) is more or less negative than the free energies of formation (Eq. 2) of all possible intermetallic compounds comprising the elements A and B (that is,  $A_iB_j$ , where i,j=1,2,3,...) present individually or as mixtures. Note that it is not necessary for A + B to transform entirely into  $A_iB_j$ -type intermetallics; rather, intermetallics can precipitate within an A-rich or B-rich (terminal) solid solution, in which case the relevant free-energy change involves the sum of the free energy of mixing of the terminal solid solution and the free energy of formation of the intermetallic. Additionally, if intermetallic compounds are not favoured and only a solid solution forms, the solid solution need not be random (or ideal) because the different atomic species can cluster or order on the lattice, depending on whether  $\Delta H_{\rm mix}$  is positive or negative, respectively. Another possibility is that, instead of forming a single solid solution, the mixture decomposes into two solid solutions with different compositions, crystal structures and/or lattice parameters.

The situation becomes increasingly more complex as more alloying elements are added (A + B + C + ...) because the number of possible phases that can co-exist correspondingly increases, as given by the Gibbs phase rule. If some of those phases are intermetallic compounds, they can be of several different types, even if we consider just the binary pairs (A-B, B-C, A-C, ...). In reality, ternary and higher-order intermetallics, which need not all be line compounds, can also form, rapidly escalating the number of possibilities. In cases in which the compounds exhibit a compositional range of stability, the energies of the defects needed to accommodate deviations from stoichiometry (for example, anti-site defects) have to be accounted for. Some of the possible mixing reactions are shown in FIG. 1.

Even in simple A–B-type alloys, there is rarely complete miscibility across the entire composition range (from pure A to pure B). Rather, binary-phase diagrams typically exhibit solid solutions near the pure-element ends and a variety of intermetallic compounds in between, many of which are brittle. The problem is exacerbated in multi-element alloys, in which there are many more element pairs that can attract each other and, therefore, an increased number of potentially brittle intermetallics.



# High entropy alloys



The traditional reluctance of metallurgists to work with concentrated, multi-element alloys was turned on its head by Yeh and co-workers<sup>1</sup>, who proposed that the increased configurational entropy of mixing in alloys comprising multiple alloying elements (Eq. 1) would counteract the tendency for compound formation (Eq. 2). By adding more and more elements at near-equiatomic concentrations, it would be possible to stabilize solid solutions at the expense of intermetallics.

Yeh and co-workers<sup>1</sup> simplified the problem by assuming that the considered solid solution is ideal, in which case  $\Delta H_{\text{mix}}$  in Eq. 1 is zero, and that the competing intermetallic compound is perfectly ordered, in which case  $\Delta S_f$  in Eq. 2 is zero. The relative stabilities of the solid solution and intermetallic compound then depend on whether  $-T\Delta S_{\text{mix}}$  (Eq. 1) is more negative than  $\Delta H_f$  (Eq. 2). The ideal entropy of mixing is given by:

$$\Delta S_{\text{mix}} = -R\Sigma x_i \ln x_i \tag{3}$$

where R is the gas constant and  $x_i$  is the mole fraction of the i<sup>th</sup> element. In an equiatomic alloy,  $x_1 = x_2 = x_3$  and so on, and the mixing entropy becomes:

$$\Delta S_{\text{mix}} = R \ln n \tag{4}$$

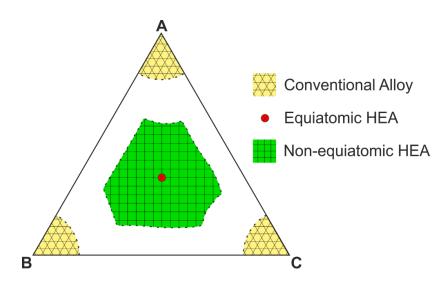
where n is the number of elements in the alloy, yielding values for  $\Delta S_{mix}$  of 1.39R, 1.61R and 1.79R for equiatomic alloys containing 4, 5 and 6 elements, respectively.

Based on this simplification, they concluded that, in alloys with a high number of principal elements (say, n=5), the entropic contribution to the free energy ( $-T_{\rm m}\Delta S_{\rm mix}$ ) at the melting temperature  $T_{\rm m}$  is comparable to the formation enthalpies ( $\Delta H_{\rm f}$ ) of strong intermetallic compounds such as NiAl and TiAl, thereby suppressing compound formation, except those with large heats of formation, such as strong ceramic compounds (oxides, carbides, nitrides and silicides), and more easily yielding random solid solutions during solidification. Consequently, Yeh and co-workers defined highentropy alloys as those with five or more elements in equiatomic concentrations. To allow for flexibility in alloy design, they relaxed the equiatomic requirement and permitted a range of concentrations from 5 to 35 at.% for each constituent element. However, this simple criterion based on the number of alloying elements is not sufficient to predict single-phase, solid-solution formation in multi-element alloys.

## **Multinary phases**

## HEA et al. ...

High entropy alloys (HEAs),
High entropy materials (HEM), e.g. high entropy oxides (HEOs)
Complex concentrated alloys (CCAs)
Multiple principal element alloys (MPEAs)
Compositionally complex solid solutions (CCSS)



#### high entropy effect:

 High configurational entropy favor solid solution phases over competing intermetallics.

#### > lattice distortion effect:

 Lattice distortions are more severe than in conventional alloys, and increase hardnes reduce electrical / thermal conductivity......

#### sluggish diffusion effect:

Diffusion is sluggish in HEAs.

#### 'cocktail' effect:

• The result of synergistic mixture is unpredictable and greater than the sum of the parts.



# RUHR-UNIVERSITÄT BOCHUM Multinary phases

# **HEAs: Cantor alloy, CrMnFeCoNi**



The CrMnFeCoNi alloy was one of the first equiatomic HEAs reported to crystallize as a single-phase fcc solid solution.

It was later discovered that it decomposes into metallic (bcc Cr) and intermetallic (L1<sub>0</sub>-NiMn and B2-FeCo) phases below about 800°C.

# HEAs: Senkov alloy, TiZrHfNbTa

bcc TiZrHfNbTa alloy.
Compared with extensive information available on the Cantor alloy and its derivatives, little is known about the fundamental structure–property relations in bcc HEAs, especially the refractory HEAs

Cantor, B., Chang, I. T. H., Knight, P. & Vincent, A. J. B. Microstructural development in equiatomic multicomponent alloys. Mater. Sci. Eng. A 375, 213–218 (2004).

# RUHR-UNIVERSITÄT BOCHUM Multinary phases

# **Example for search of new HEAs**

nature materials

LETTERS

https://doi.org/10.1038/s41563-020-0750-4



# Natural-mixing guided design of refractory high-entropy alloys with as-cast tensile ductility

Shaolou Wei¹, Sang Jun Kim², Jiyun Kang¹, Yong Zhang³, Yongjie Zhang⁴, Tadashi Furuhara⁴, Eun Soo Park®² and Cemal Cem Tasan®¹ <sup>™</sup>

Metallic alloys containing multiple principal alloying elements have created a growing interest in exploring the property limits of metals and understanding the underlying physical mechanisms. Refractory high-entropy alloys have drawn particular attention due to their high melting points and excellent softening resistance, which are the two key requirements for high-temperature applications. Their compositional space is immense even after considering cost and recyclability restrictions, providing abundant design opportunities. However, refractory high-entropy alloys often exhibit apparent brittleness and oxidation susceptibility, which remain important challenges for their processing and application. Here, utilizing natural-mixing characteristics among refractory elements, we designed a Ti<sub>38</sub>V<sub>15</sub>Nb<sub>23</sub>Hf<sub>24</sub> refractory high-entropy alloy that exhibits >20% tensile ductility in the as-cast state, and physicochemical stability at high temperatures. Exploring the underlying deformation mechanisms across multiple length scales, we observe that a rare  $\beta'$ -phase plays an intriguing role in the mechanical response of this alloy. These results reveal the effectiveness of natural-mixing tendencies in expediting high-entropy alloy discovery.

The quick-emerging paradigm of metallic alloy development with multiple principal elements has rendered salient advantages Moreover, because of their apparent brittleness below homologous temperatures<sup>21</sup>, fundamental investigations of the deformation mechanisms of RHEAs are scant, especially compared to the abundant literature for face-centred cubic (fcc)-structured high-entropy alloys (HEAs)<sup>22,23</sup>. We reveal in this work that by following a Cantor-like approach<sup>1</sup> that exploits the natural-mixing characteristics among refractory elements to minimize casting segregation, it is possible to expediently guide the RHEA composition search, and thereby to achieve desirable combinations of strength, ductility and high-temperature stability.

The first step of our composition-searching strategy involves quantitative elemental partition assessment at the mesoscale, by probing the largest single-phase region inherited from natural mixing among refractory elements. To achieve this, a nine-component master RHEA consisting of equal atomic portions of Ti, V, Cr, Zr, Nb, Mo, Hf, Ta and W was cast via arc melting. As displayed in Fig. 1a, four predominant phase-separated zones develop in this master RHEA's microstructure, respectively enriched in Ti, Mo, Cr and Hf (see the markers as a guide in Fig. 1a). Applying energy dispersive spectroscopy (EDS) elemental mapping and point analyses to the largest single-phase region that spans over almost the full microstructure, and considering alloying elements >10 at.% as principal constituents (for more details, see Supplementary Note 1), we

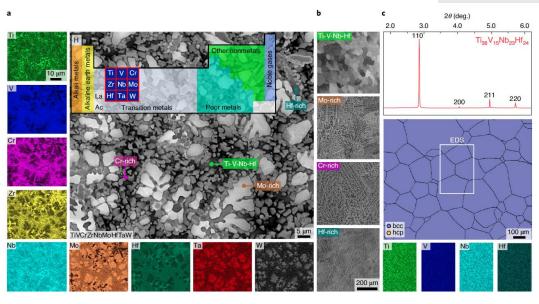


Fig. 1 | The strategy of composition search among refractory elements. a, As-cast microstructure of a master RHEA consisting of the nine refractory elements in equal atomic portions. The corresponding EDS mapping results reveal the distinctive presence of four phase-separated regions, respectively enriched in Ti, Mo, Cr and Hf. b, As-cast microstructures of the four alloys designed using the compositions excerpted from the corresponding regions in a (more details are provided in Supplementary Fig. 1, Supplementary Table 1 and Supplementary Note 1). c, Microstructural characterization of the recrystallized Ti<sub>38</sub>V<sub>18</sub>Nb<sub>23</sub>Hf<sub>24</sub> RHEA whose composition was inherited from the largest single-phase region in a (denoted Ti-V-Nb-Hf). In Supplementary Note 1, we provide systematic assessments of the reliability for the approach and discuss the plausible thermodynamic principles in terms of universality. Sub-figures presented from top to bottom in c are synchrotron X-ray diffraction patterns, EBSD phase map and EDS elemental distribution assessment of a selected area of interest (the white rectangle in the phase map). Magnifications of EBSD and EDS are identical. All the mesoscale characterizations indicate that the Ti<sub>38</sub>V<sub>18</sub>Nb<sub>27</sub>Hf<sub>24</sub>RHEA preserves a single-phase bcc structure, macroscopically, with a lattice parameter a = 3.323 Å.



# High entropy materials (oxides, nitrides, ...) REVIEWS





## High-entropy ceramics

Corey Oses<sub>1</sub>,<sup>2</sup>, Cormac Toher<sub>1</sub>,<sup>2</sup> and Stefano Curtarolo<sub>1</sub>,<sup>2</sup> □

Abstract | Disordered multicomponent systems, occupying the mostly uncharted centres of phase diagrams, were proposed in 2004 as innovative materials with promising applications. The idea was to maximize the configurational entropy to stabilize (near) equimolar mixtures and achieve more robust systems, which became known as high-entropy materials. Initial research focused mainly on metal alloys and nitride films. In 2015, entropy stabilization was demonstrated in a mixture of oxides. Other high-entropy disordered ceramics rapidly followed, stimulating the addition of more components to obtain materials expressing a blend of properties, often highly enhanced. The systems were soon proven to be useful in wide-ranging technologies, including thermal barrier coatings, thermoelectrics, catalysts, batteries and wear-resistant and corrosion-resistant coatings. In this Review, we discuss the current state of the disordered ceramics field by examining the applications and the high-entropy features fuelling them, covering both theoretical predictions and experimental results. The influence of entropy is unavoidable and can no longer be ignored. In the space of ceramics, it leads to new materials that, both as bulk and thin films, will play important roles in technology in the decades to come.

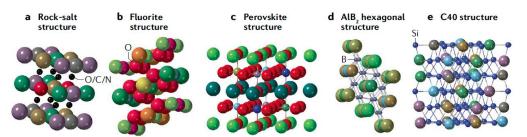


Fig. 2 | High-symmetry structures of high-entropy ceramics. Structures and compositions include the rock-salt structure (space group  $Fm\overline{3}m$  #225, AFLOW (Automatic Flow Framework for Materials Science)<sup>93</sup> prototype label<sup>94,95</sup> AB\_cF8\_225\_a\_b) (oxides, carbides, nitrides) (part **a**), the fluorite structure ( $Fm\overline{3}m$  #225, AB2\_cF12\_225\_a\_c) (oxides) (part **b**), the perovskite structure ( $Pm\overline{3}m$  #221, AB3C\_cP5\_221\_a\_c\_b) (oxides) (part **c**), the AlB<sub>2</sub> hexagonal structure (P6/mmm #191, AB2\_hP3\_191\_a\_d) (borides) (part **d**) and the C40 structure ( $P6_2$ 22 #180, AB2\_hP9\_180\_d\_j) (silicides) (part **e**).

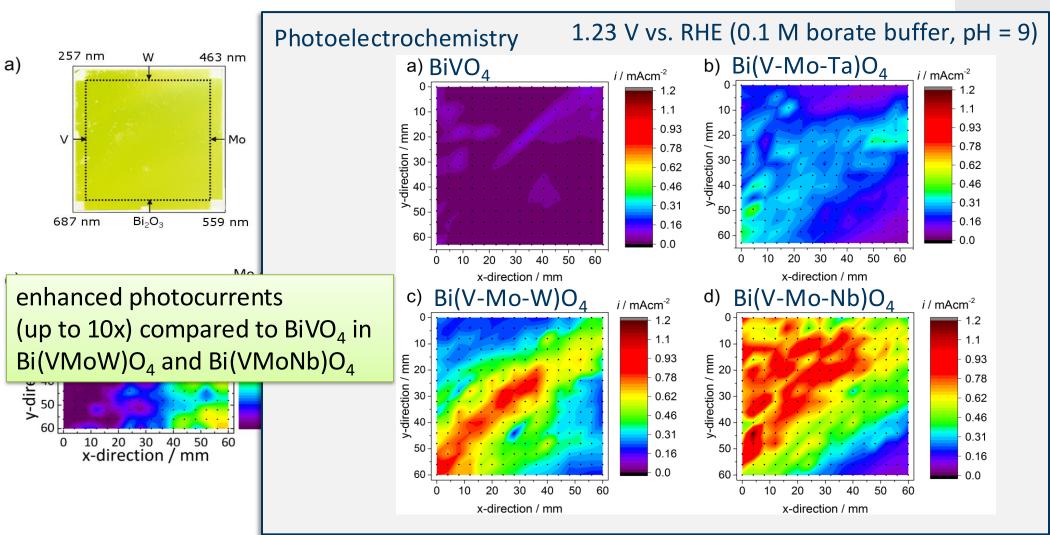
#### www.nature.com/articles/s41578-019-0170-8

compositionally complex ceramics high entropy metal sublattice ceramics

J. Appl. Phys. 130, 150903 (2021); doi: 10.1063/5.0062523

# Compositional effects on solar water splitting in Bi(V-Mo-X)O<sub>4</sub>, X: Ta, W, Nb





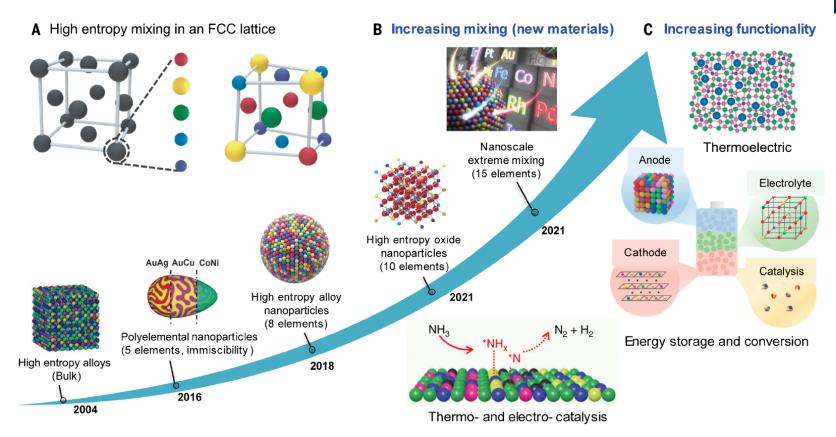
R. Gutkowski, C. Khare, F. Conzuelo, Y.U. Kayran, A. Ludwig, W. Schuhmann (2017)
Unraveling compositional effects on the light-induced oxygen evolution in Bi(V-Mo-X)O<sub>4</sub> material libraries,
Energy & Environmental Science

## **Multinary phases**

# High entropy <u>nano</u>materials

RESEARCH | REVIEW

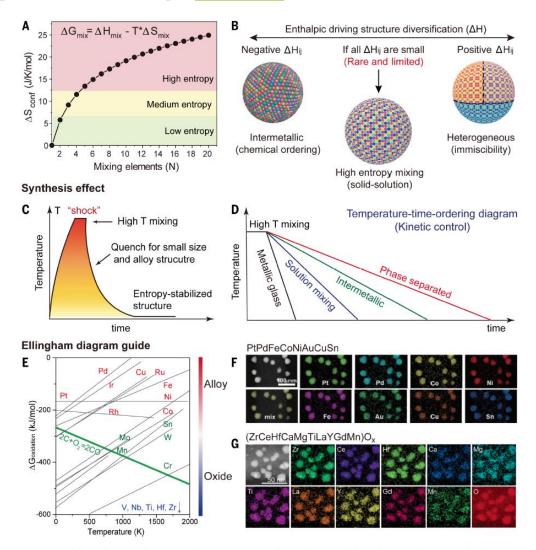


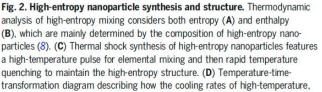


**Fig. 1.** Development of high-entropy nanoparticles with multielemental composition and enhanced functionality. (A) Schematic showing high-entropy mixing in a face-centered cubic lattice. Multiple elements will occupy the same lattice site randomly to form a high-entropy structure such as a high-entropy alloy. (B) The study of bulk high-entropy alloys has taken off and gained substantial interests since 2004 (1, 3). In 2016, a multielemental nanoparticle library was synthesized (though with immiscibility, and thus phase segregation), followed by various single-phase, high-entropy nanoparticles with an

increasing number and range of elements (7, 8, 14, 20). Reprinted from (14) with permission from Elsevier. (**C**) These high-entropy nanoparticles have found critical application in thermo- and electro-catalysis, energy storage and conversation, and environmental and thermoelectric technologies (29–31, 35, 36). Reprinted from (31) with permission (copyright 2021 American Chemical Society) and from (35) with permission. Other portions of the figure are reprinted from (7, 8) with permission, from (20) with permission from Springer-Nature, and from (36) CC BY 4.0.

# High entropy <u>nano</u>materials





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kinetically controlled syntheses can be adjusted to form various nanoparticles featuring different degrees of structural and chemical ordering. (E) The Ellingham diagram [reprinted from (14) with permission from Elsevier] provides a guide for composing either alloy (e.g., PtPdFeCoNiAuCuSn) (8) (F) or oxide high-entropy nanoparticles (e.g., ZeCeHfCaMgTiLaYGdMnOx) (20) (G) according to the oxidation potentials of each element. Reprinted from (20) with permission from Springer Nature.



# Mixing non-mixable elements in high entropy <u>nano</u>materials

#### **Matter**





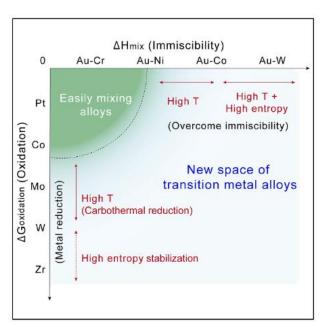
Matter

**Immiscible** 

Article

#### Article

Extreme mixing in nanoscale transition metal alloys



Conventionally, alloys are mostly synthesized in the region of easy mixing, i.e., with light immiscibility and oxidation potentials. Yet such a limited elemental combination largely hinders possible tunability and material discovery. By using entropic design (high temperature and high entropy) that favors uniform mixing, we extended nanoscale alloying to previous unmixable regions by including strongly immiscible and easily oxidized combinations, which we called an extreme mixing. The new alloy space could bring forth exotic nanomaterials for broad applications



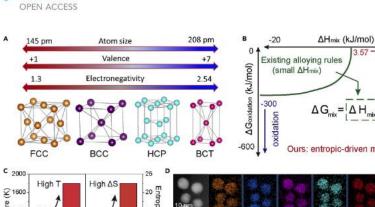
#### binghu@umd.edu

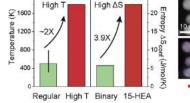
A record 15-element nanoalloy was achieved by extreme entropic design and stabilization

Nanoscale alloys entered into regions featuring strong immiscibility and easy oxidation

Mixing enthalpy and Ellingham diagram can effectively guide the nanoscale alloy design

The first observation of localized strain and lattice distortions in extreme nanoalloys





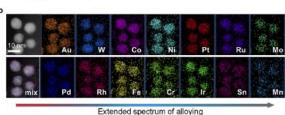


Figure 1. Extreme alloying by a high-temperature and high-entropy synthesis

(A) Transition metal elements and their largely different physiochemical properties.

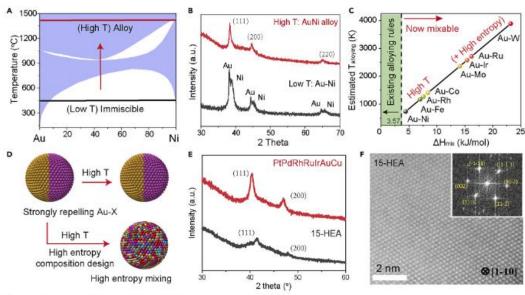
(B) Existing single-phase alloying rule (a small  $\Delta H_{mix}$ ) and our strategies based on the entropic contribution ( $-7\Delta S_{mix}$ ) to enable more alloy choices. (C) The high temperature and high entropy (configurational entropy) in this study (1,800 K, 15 element mixing) compared with typical nanomaterial studies (300-800 K, binary systems), rendering an entropic driving force of approximately -40 kJ/mol.

(D) STEM elemental maps of extreme mixing in nanoparticles containing 15 different elements including both late and early transition metals, made possible by the high-temperature and high-entropy synthesis (Cu mapping data is not shown due to use of the Cu grid).

# Mixing non-mixable elements in high entropy <u>nano</u>materials









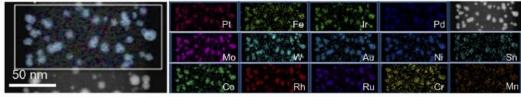


Figure 2. Overcoming immiscibility by high-temperature and high-entropy synthesis

- (A) Phase diagram of Au-Ni, showing the immiscible region at low temperature
- (B) XRD profiles of Au-Ni synthesized by high-temperature shock (1,800 K, a single-phase structure) and low-temperature shock (800 K, phase separation of Au and Ni).
- (C) Summary of general alloying in Au-based binary combinations. The high-temperature synthesis extends the alloying of elements up to Au-Co, while further extension requires a high-entropy compositional design. The alloying temperature is estimated from  $T_{\rm alloying} = \Delta H_{\rm min}/\Delta S_{\rm min}$
- (D) Schematic demonstrating the mixing of strongly repelling Au-X combinations with a high-entropy design.
- (E) XRD profiles of a 7-element system of noble transition metals and a 15-HEA formulation; both samples show a single-phase structure despite containing strongly recelling combinations.
- (F) Atomic-resolution HAADF image of a 15-HEA nanoparticle showing an FCC pattern (inset).
- (G) Low-magnification element maps for 15-HEA nanoparticles, showing uniform mixing of early and late transition metals.





#### **Previews**

Maximize mixing in highly polyelemental solid solution alloy nanoparticles

Alfred Ludwig<sup>1,\*</sup>

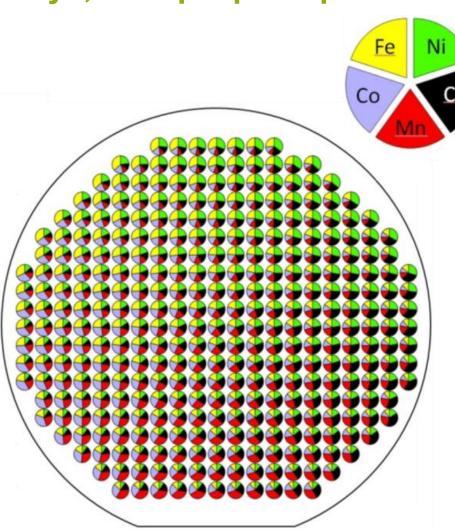
In this issue of *Matter*, Yao et al. report on advanced non-equilibrium high-temperature entropy-controlled synthesis of polyelemental nanoparticles. They achieve extreme mixing of 15 metals, some of them previously immiscible, in the form of a single phase solid solution. The compositionally tunable properties of such atomic scale mixtures within a simple crystal structure makes them highly interesting for the design of new materials, e.g., electrocatalysts.

https://doi.org/10.1016/j.matt.2021.06.015

Combinatorial and high-throughput methods for the investigation of novel materials

# Exploring quinary composition space: "high entropy" alloys, multiple principal element alloys





Co-sputter deposition of multinary materials libraries from up to 5 elemental targets

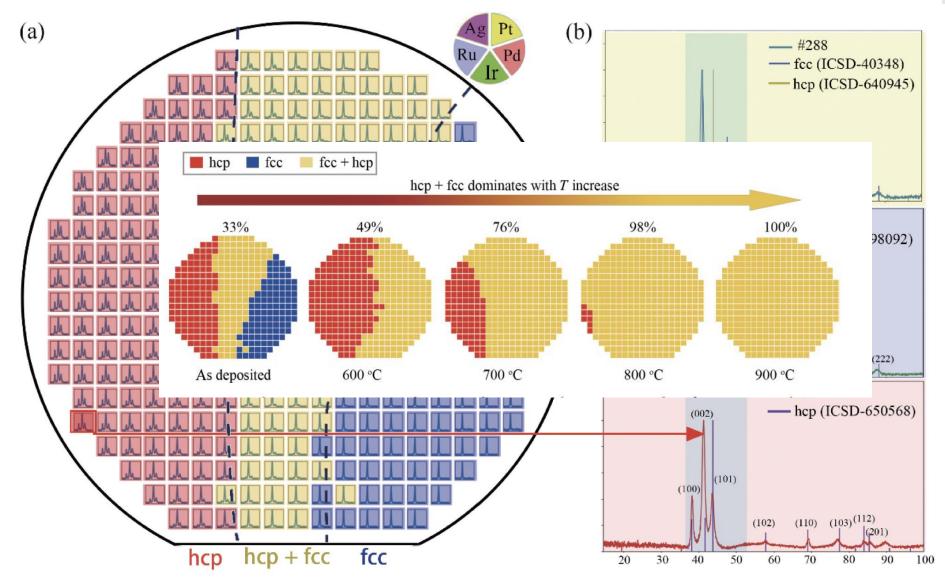


Visualization of multidimensional data

Z. Li, A. Ludwig, A. Savan, H. Springer, D. Raabe (2018) *Combinatorial metallurgical synthesis and processing of high-entropy alloys*, accepted by Journal of Materials Research

# High-throughput characterization of materials libraries: Composition and phase constitution of a quinary system





B. Xiao, A. Savan, X. Wang, A. Ludwig (2021) *Phase constitution of the noble metal thin-film complex solid solution system Ag-Ir-Pd-Pt-Ru in dependence of elemental compositions and annealing temperatures*, Nano Research, https://doi.org/10.1007/s12274-021-3516-7

# Materials informatics for XRD phase analysis: Towards autonmous experimentation

computational

**RESOURCE** 

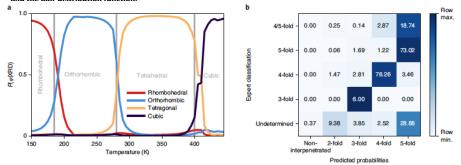
https://doi.org/10.1038/s43588-021-00059-2

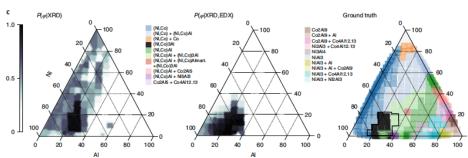


#### Crystallography companion agent for high-throughput materials discovery

Phillip M. Maffettone 0, Lars Banko, Peng Cui<sup>2</sup>, Yury Lysogorskiy, Marc A. Little, Daniel Olds, Alfred Ludwig <sup>1</sup> and Andrew I. Cooper <sup>1</sup> <sup>2</sup>

The discovery of new structural and functional materials is driven by phase identification, often using X-ray diffraction (XRD). Automation has accelerated the rate of XRD measurements, greatly outpacing XRD analysis techniques that remain manual, time-consuming, error-prone and impossible to scale. With the advent of autonomous robotic scientists or self-driving laboratories, contemporary techniques prohibit the integration of XRD. Here, we describe a computer program for the autonomous characterization of XRD data, driven by artificial intelligence (AI), for the discovery of new materials. Starting from structural databases, we train an ensemble model using a physically accurate synthetic dataset, which outputs probabilistic classifications—rather than absolutes—to overcome the overconfidence in traditional neural networks. This AI agent behaves as a companion to the researcher, improving accuracy and offering substantial time savings. It is demonstrated on a diverse set of organic and inorganic materials characterization challenges. This method is directly applicable to inverse design approaches and robotic discovery systems, and can be immediately considered for other forms of characterization such as spectroscopy and the pair distribution function.





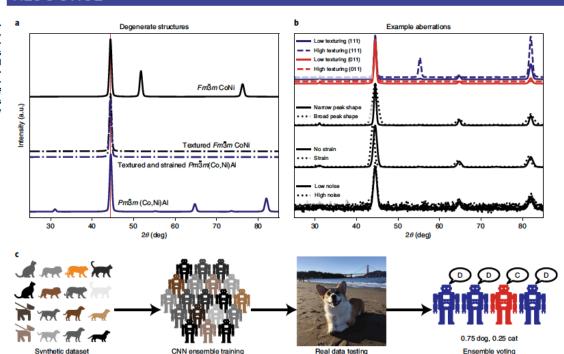
Flg. 4 | Autonomous XRD analysis results from XCA. a, XCA rapidly produces a probabilistic temperature-dependent phase mapping of BaTiO<sub>3</sub> that is more accurate than current refinement techniques. Dotted lines show the expected transition temperatures, and each colored line corresponds to the probability of a given phase existing. b, Confusion matrix showing the sum of predicted phase probabilities for each expert-classified phase of ADTA. c, Phase mapping for cubic Ni<sub>3</sub>Al compared with the ground truth phase diagram, with a black line outlining the probability region of P(φ|XRD, EDX)≥ 0.8<sup>£</sup> (right). The XRD-based probability (left) captures the uncertainty associated with classifying this phase against another cubic phase with similar peak positions. The joint probability (center) reduces the uncertainty by conflating prior information from composition. Each of these results was produced by a single XCA experiment using 100,000 synthetic patterns per phase, and an ensemble of 50 CNN learners.

RUB XCA: autonomous companion agent that learns from fully synthetic data and can predict phases from XRD patterns in real time for the rapid, accurate classification of XRD datasets effective across materials domains, requires no labeling of experimental data, and is robust despite varying degrees of texture, peak shifting,

peak broadening, phase mixing and amorphous disorder

#### RESOURCE

#### NATURE COMPUTATIONAL SCIENCE



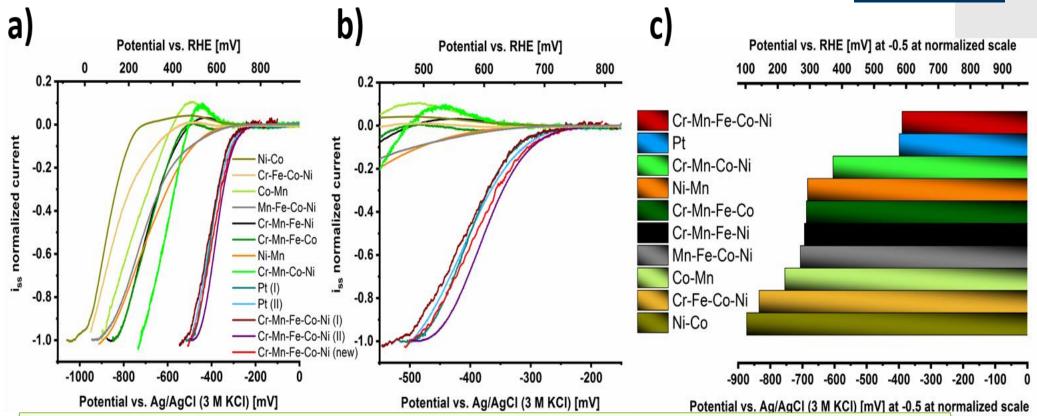
Flg. 1 | Experimental XRD complexity and training an ensemble using synthetic data. a, Different crystal phases can create identical XRD patterns under conditions that are common in thin-film experiments. b, There are many causes of aberration in an XRD pattern, such as intensity changes from the preferred orientation, peak shifting from lattice strain or solid solutions, peak broadening and background, as illustrated here for the  $Pm\overline{3}m$  phase of (Ni,Co)Al. c, The crystallography companion agent (XCA) statistically solves the problem of simultaneous experimental complexity and data scarcity by automatically building a synthetic dataset and training an ensemble of learners from the data. The dataset covers the scope of variation in XRD patterns and the ensemble model outputs an existence probability of each phase when tested against real data. This protocol is analogous to training a cat-versus-dog classifier on artistic sketches of the animals and testing on photographs. Un like the sketch analogy, this training approach is possible for XRD because of the speed and accuracy of the simulations.

P. M. Maffettone, L. Banko, P. Cui, Y. Lysogorskiy, M. Little, D. Olds, A. Ludwig, A. I. Cooper (2021) Crystallography companion agent for high-throughput materials discovery Nature Computational Science 1 200 - 207

Combinatorial and high-throughput methods for the investigation of novel materials

# "High entropy" alloy nanoparticle libraries: Cr-Mn-Fe-Co-Ni





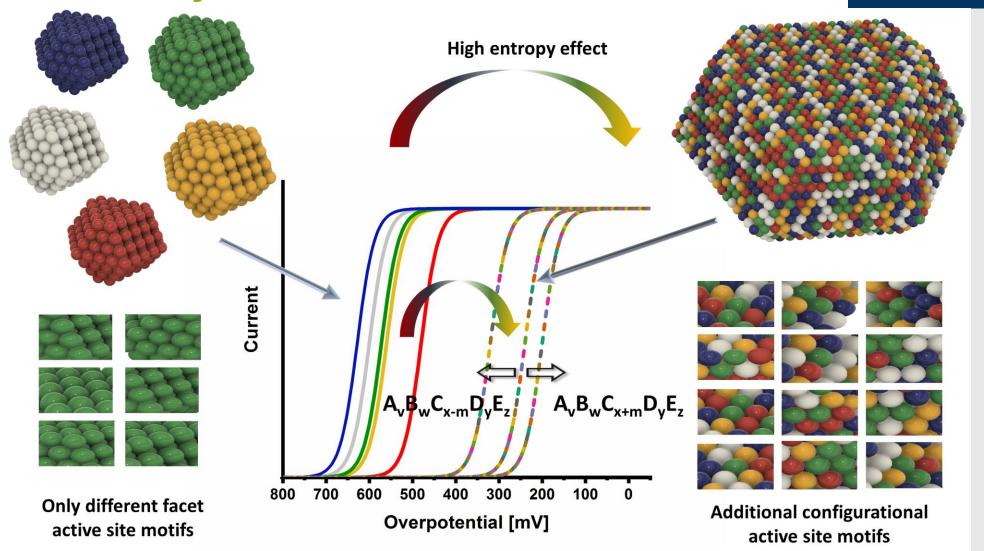
Systematic removal of each element from the quinary system yields a significant drop in activity for all quaternary alloys, indicating the **importance of the synergistic combination of all 5 elements**, likely due to formation of a **single solid solution** phase with altered properties which enables overcoming limitations of single elements

T. Löffler, H. Meyer, A. Savan, P. Wilde, A. Garzón Manjón, Y.-T. Chen, E. Ventosa, C. Scheu, A. Ludwig, W. Schuhmann (2018) Discovery of a Multinary Noble Metal Free Oxygen Reduction Catalyst, Advanced Energy Materials 1802269

Combinatorial and high-throughput methods for the investigation of novel materials

# Cr-Mn-Fe-Co-Ni nanoparticle libraries: ORR catalysts





T. Löffler, H. Meyer, A. Savan, P. Wilde, A. Garzón Manjón, Y.-T. Chen, E. Ventosa, C. Scheu, A. Ludwig, W. Schuhmann (2018) Discovery of a Multinary Noble Metal Free Oxygen Reduction Catalyst, Advanced Energy Materials 1802269

Combinatorial and high-throughput methods for the investigation of novel materials

### What Makes High-Entropy Alloys Exceptional Electrocatalysts?



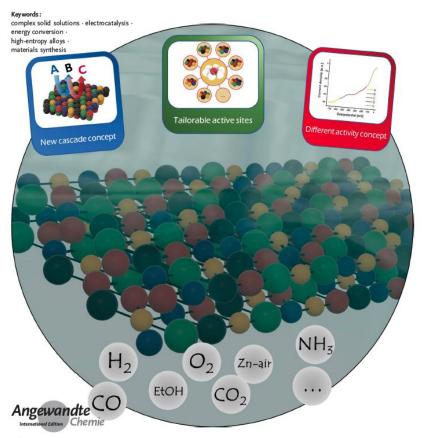


Electrocatalysis

How to cite: Angew. Chem. Int. Ed. 2021, 60, 26894–26903
International Edition: doi.org/10.1002/anie.202109212
German Edition: doi.org/10.1002/ange.202109212

## What Makes High-Entropy Alloys Exceptional Electrocatalysts?

Tobias Löffler,\* Alfred Ludwig, Jan Rossmeisl, and Wolfgang Schuhmann



26894 Wiley Online Library © 2021 The Authors. Angewandte Chemie International Edition published by Wiley-VCH GmbH Angew. Chem. Int. Ed. 2021, 60, 26894–26903

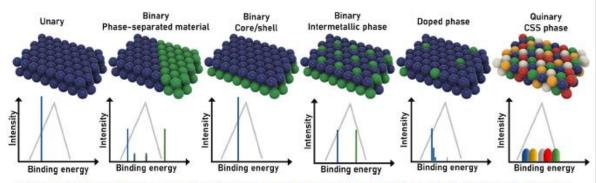


Figure 1. Schematic comparison of various kinds of catalyst surfaces with the corresponding pattern of binding energies for on-top binding of a reactant on an idealised infinite single-crystal lattice, without any step sites, edge sites, defects etc. The sum of the intensity for all peaks is the same for each scenario, yet adjusted here for better legibility.

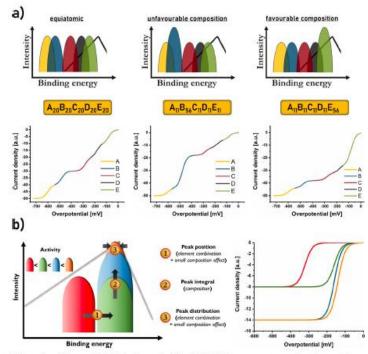


Figure 2. a) Schematic illustration of how each CCSS binding peak of the BEDP yields one current wave in voltammetric measurements, where activity and plateau current depend on binding energy shift and peak integral, respectively. Hence, adjusting the composition affects the current wave proportions. In this representation, the absence of any mass-transport effects allows visibility of all current waves. b) Since the most active current wave is of the highest interest, effects of element combination and composition on the binding peak are presented, and the effect on the corresponding current wave is shown.

Thin-film and nanoparticle complex solid solution electrocatalyst libraries

Joule

# Discovery of compositionally complex solid solutions ("high entropy alloys") for electrocatalysis



**Experiment** 

Discovery of a Multinary Noble Metal-Free Oxygen

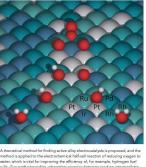
Tobias Löffler, Hajo Meyer, Alan Savan, Patrick Wilde, Alba Garzón Manjón, Yen-Ting Chen Edgar Ventosa, Christina Scheu, Alfred Ludwig,\* and Wolfgang Schuhmann<sup>3</sup>

**Reduction Catalyst** 

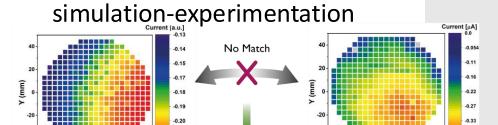
Adv. Energy Mater. 8, 1802269 (2018)

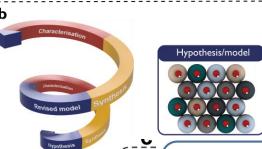
Simulation

High-Entropy Alloys as a Discovery Platform for Electrocatalysis



Closed-loop







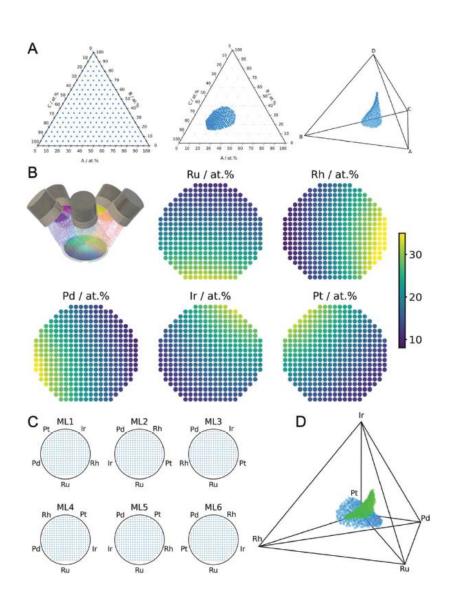
Joule (2018)

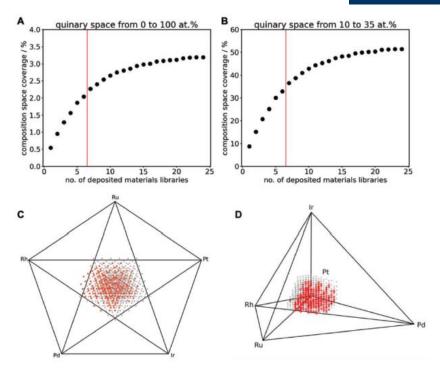
We need fast methods to explore the multidimensional CSS search space to identify new electrocatalysts (active + stable) and understand the working principles of these materials -0.38 ML3

T. A. A. Batchelor, T. Löffler, B. Xiao, O.A. Krysiak, V. Strotkötter, J. K. Pedersen, C.M. Clausen, A. Savan, Y. Li, W. Schuhmann, J. Rossmeisl, A. Ludwig Complex solid solution electrocatalyst discovery by prediction and high-throughput experimentation, Angewandte Chemie Int Fd (2021) 60, 6932-6937

# Unravelling composition-activity-stability trends in high entropy alloy electrocatalysts using a data-guided combinatorial synthesis strategy and computational modelling







L. Banko, O. A. Krysiak, J. K. Pedersen, B. Xiao, A. Savan, T. Löffler, S. Baha, J. Rossmeisl, W. Schuhmann, A. Ludwig (2022) Unravelling composition-activity-stability trends in high entropy alloy electrocatalysts by using a data-guided combinatorial synthesis strategy and computational modelling, Advanced Energy Materials, 2103312

# Questions



- (1) What are "high entropy alloys"?
- (2) Why is the name in some cases problematic?
- (3) What is the promise of high entropy materials?
- (4) What makes high entropy nanomaterials interesting?
- (5) Discuss (meta)stability and phase formation in the context of HEAs



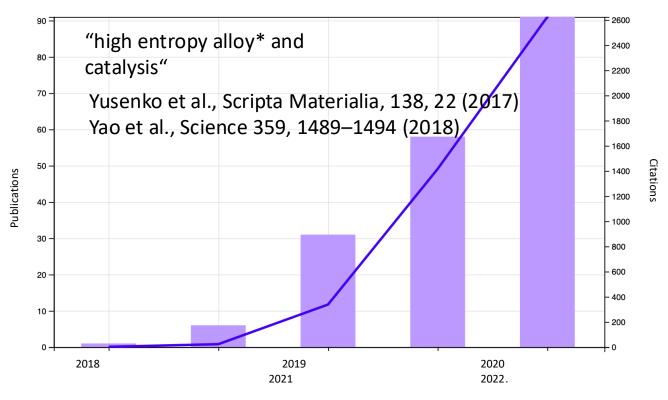
# Neue Großprojekte zur Erforschung von Hochentropie-Materialien für das Energiesystem der Zukunft

Alfred Ludwig

Ruhr-Universität Bochum



## Discovery: Cr-Mn-Fe-Co-Ni rivals Pt in oxygen reduction reaction



T. Löffler, H. Meyer, A. Savan, P. Wilde, A. Garzón Manjón, Y.-T. Chen, E. Ventosa, **C. Scheu, A. Ludwig, W. Schuhmann** (2018)

Discovery of a Multinary Noble Metal Free Oxygen Reduction Catalyst,

Advanced Energy Materials 1 802269

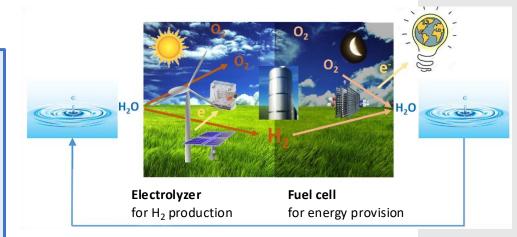
T. Batchelor, J. Pedersen, S. Winther, I. Castelli, K. Jacobsen, **J. Rossmeisl** (2019) *High-Entropy Alloys as a Discovery Platform for Electrocatalysis*, Joule, 2019, 3, 834



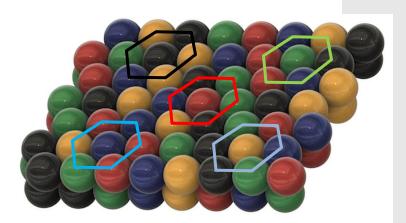
and surface atom arrangements (SAA)

# Goal of Collaborative Research Centre 1625 fundamental scientific understanding of CCSS surfaces, i.e. mastering poly-elemental SAA by fusion of simulation, synthesis, characterisation, and data science

1.4.2024- 31.12.2027 (+ 2 x 4 years)

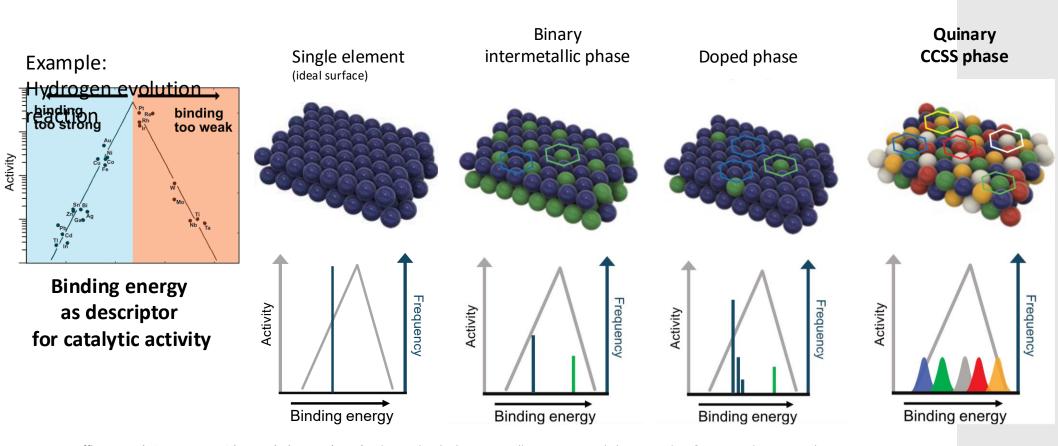


RUB





## **SAA** make CCSS exceptional electrocatalysts



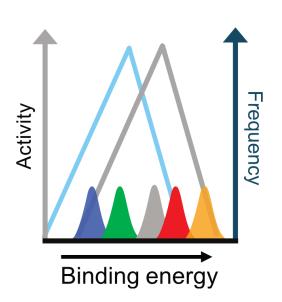
T. Löffler, A. Ludwig, J. Rossmeisl, W. Schuhmann (2021) What makes high-entropy alloys exceptional electrocatalysts? Angew. Chem. Int. Ed. 2021, 60, 26894

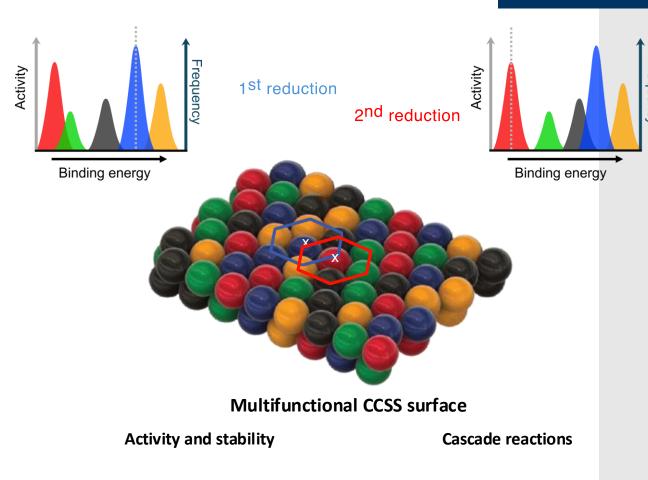


### Universal applicability and multifunctionality of CCSS

#### **Hypothesis**

Electrochemical properties of CCSS can be tailored for any reaction, if we can master their poly-elemental surface atom arrangements





## **Exploration and exploitation of CCSS** by data-driven science

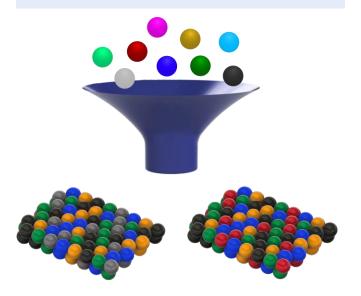
# → Identify most active SAA → Maximise their number

#### Composition optimisation

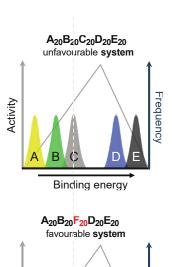
#### Phase I:

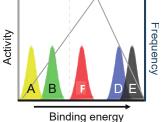
- 9 noble metals
- 126 quinary systems
- 10<sup>4</sup> compositions in 1 system
- 10<sup>5</sup> of SAA for 1 composition

#### Combinatorial explosion → data-driven science



#### **System selection**

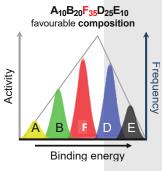


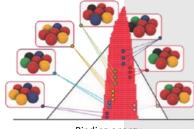


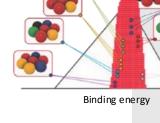
 $A_{30}B_{20}F_{20}D_{20}E_{10}$ unfavourable composition Activity Binding energy

Binding energy

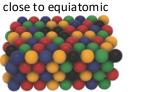






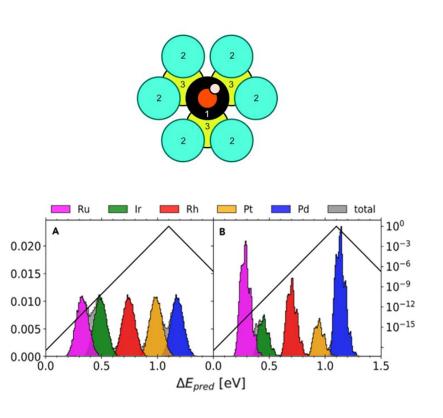


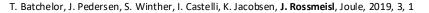


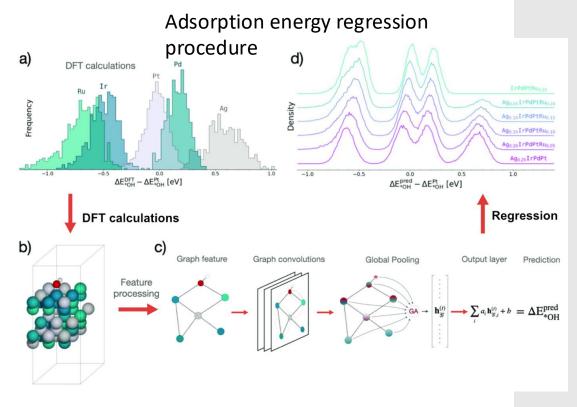




## Theoretical basis for understanding and rational design of SAA







C.M. Clausen, M.L.S. Nielsen, J.K. Pedersen, J. Rossmeisl (2022) Ab Initio to Activity: Machine Learning-Assisted Optimization of High-Entropy Alloy Catalytic Activity, High Entropy Alloys & Materials

**CRC 1625: A unique team to address the challenge** 

RUB

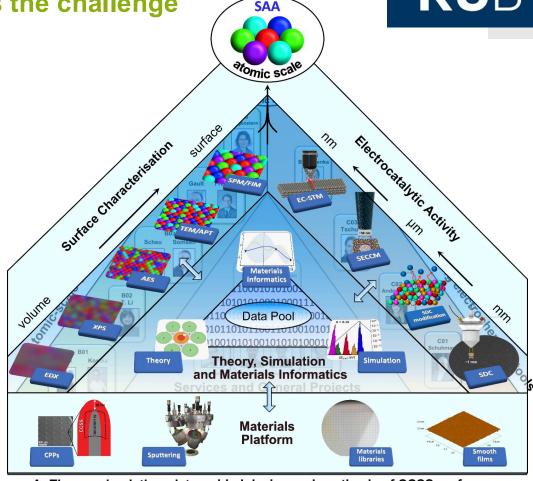
Scale-bridging approach integrating

- theoretical prediction
- high-throughput and in-depth experiments
- data science

Atomic-scale details and statistical abundance of SAA

Deep collaboration

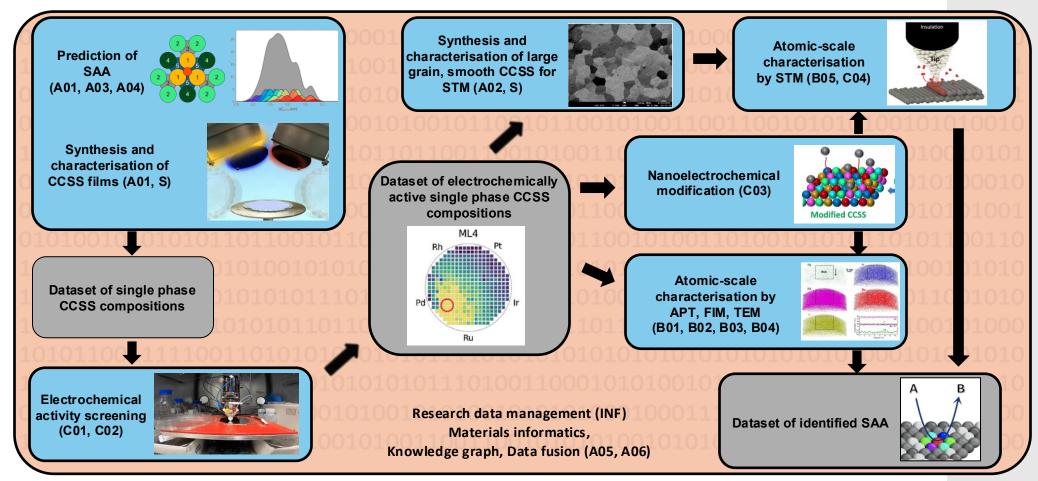
Sample and data lineage tracking



A: Theory, simulation, data-guided design and synthesis of CCSS surfaces

# Exemplary workflow to identify SAA: Data-guided prediction-synthesis-characterisation





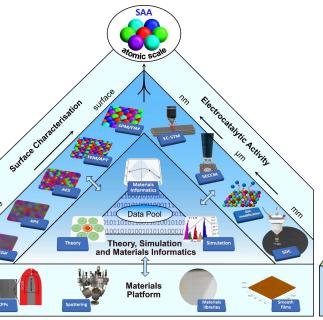
#### **CRC 1625**

# Atomic-scale understanding and design of multifunctional compositionally complex solid solution surfaces













Our holistic approach will fulfil the vision to control SAA on the atomic scale and across the surface and enable design of ideal CCSS surfaces for specific applications





# **ERC Synergy Grant DEMI**





RUHR UNIVERSITÄT BOCHUM





2024 - 2029

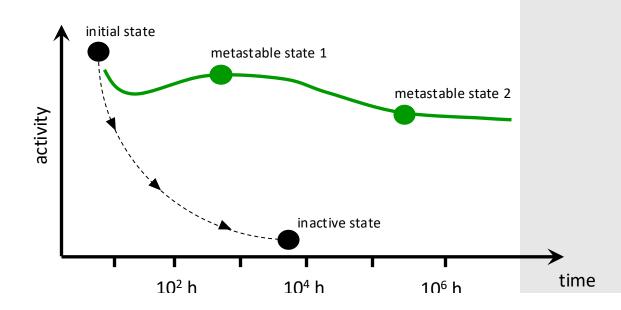


- High activity
- Natural abundance
- Stable

21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn
39	40	41	42	43	44	45	46	47	48
Υ	Zr	Nb	Мо	Тс	Ru	Rh	Pd	Ag	Cd
71	72	73	74	75	76	77	78	79	80
Lu	Hf	Та	W	Re	Os	Ir	Pt	Au	Hg
103	104	105	106	107	108	109	110	111	112
Lr	Rf	Db	Sg	Bh	Hs	Mt	Ds	Rg	Cn

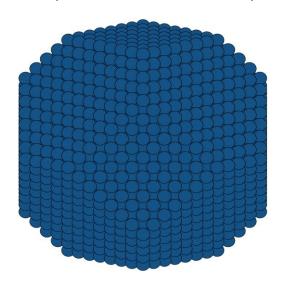
Oxygen reduction reaction Oxygen evolution reaction CO<sub>2</sub> reduction reaction

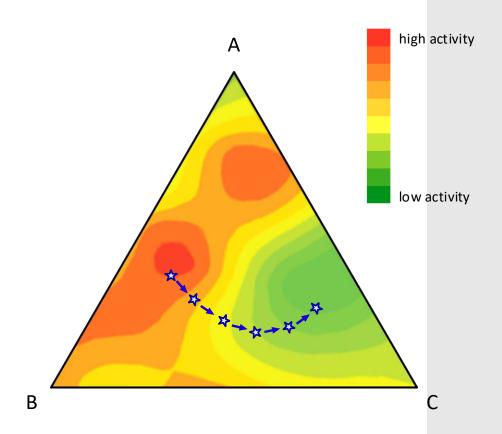
- Uncontrolled degradation
- Complex materials space
- No theory of metastability



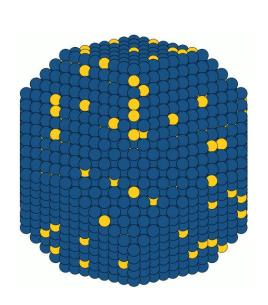


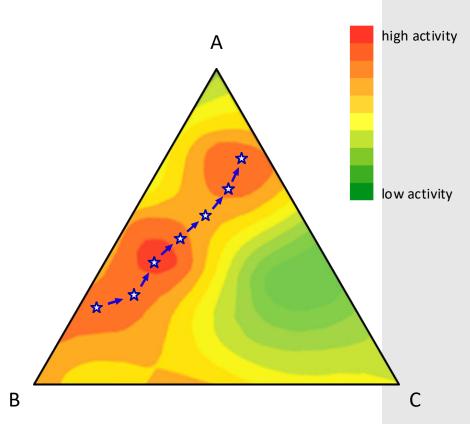
High entropy material nanoparticle (all elements shown in blue)





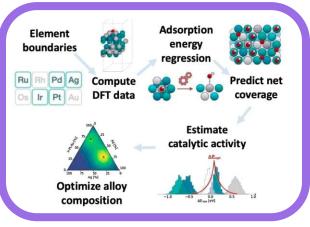


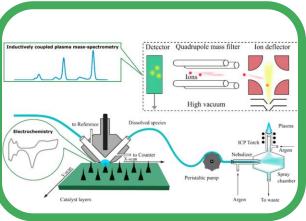


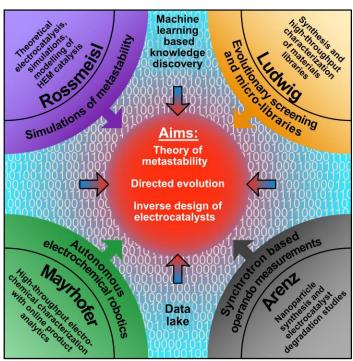


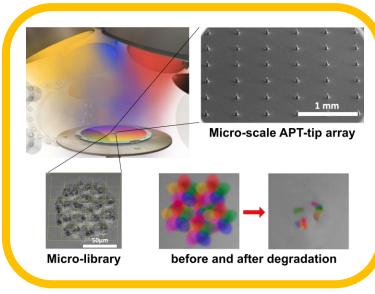
Ludwig, Mayrhofer et al. Nature Catalysis 2018; Arenz, Rossmeisl et al. in review

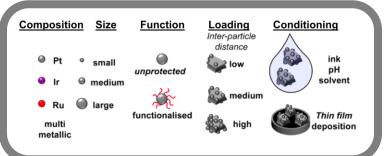














# New approaches for Accelerated Materials Discovery

- Microlibraries
- Combinatorial processing platforms for accelerated atomic scale characterization

Part of ERC Synergy Project DEMI Directed Evolution of Metastable Electrocatalyst Interfaces for Energy Conversion (J. Rossmeisl, Copenhagen; M. Arenz, Bern; A. Ludwig, Bochum; K. Mayrhofer, Erlangen)



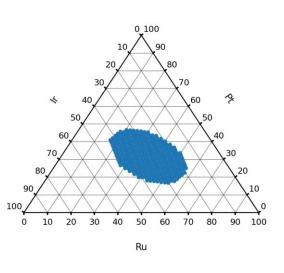


# **Exploring the quinary composition space**

Pd

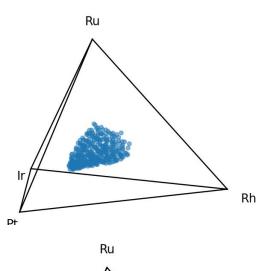




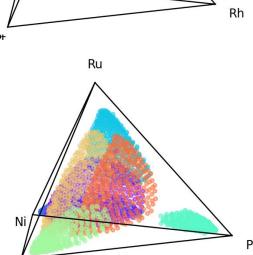


coverage: 17%

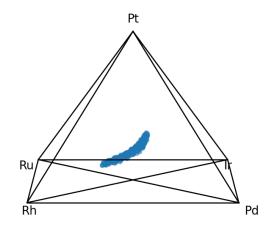
quaternary: Ir-Pt-Ru-Rh



coverage: 0.6%



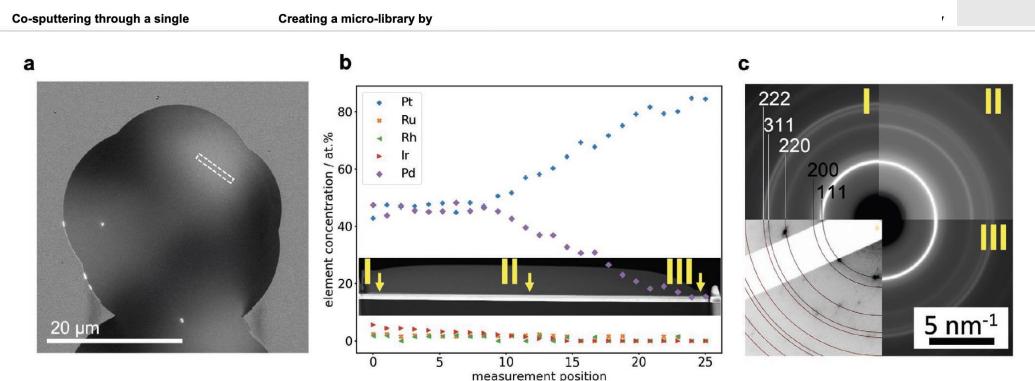
quinary: Ir-Pt-Ru-Rh-Pd



L. Banko, E. B. Tetteh, A. Kostka, T. H. Piotrowiak, O. A. Krysiak, U. Hagemann, C. Andronescu, W. Schuhmann, A. Ludwig (2023) *Microscale combinatorial libraries for the discovery of high entropy materials*, Advanced Materials, 2207635

# **New approach: Micro-Libraries**





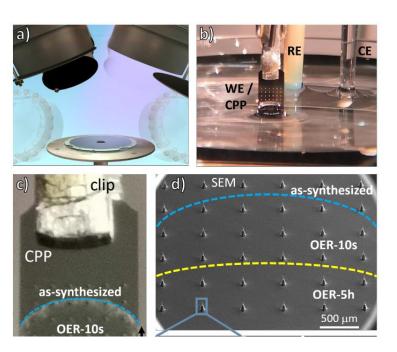
**Figure 2.** High-throughput nanoscale characterization of microlibraries. a) SEM image of a microlibrary. The position of the FIB-cut cross-sectional sample is indicated. b) Chemical composition along the FIB-cut sample from TEM-EDX. The positions where the diffraction patterns were acquired are highlighted on the high angle annular dark field TEM cross-sectional image (inset). c) Averaged diffraction patterns from the regions highlighted in panel (b) as well as an exemplary raw diffraction pattern with overlaid Pt lines.

L. Banko, E. B. Tetteh, A. Kostka, T. H. Piotrowiak, O. A. Krysiak, U. Hagemann, C. Andronescu, W. Schuhmann, A. Ludwig (2023) *Microscale combinatorial libraries for the discovery of high entropy materials*, Advanced Materials, 2207635

Accelerated atomic-scale exploration of phase evolution in compositionally complex alloys

# Combinatorial processing platforms for accelerated phase evolution in HEM: Electrochemical Oxidation





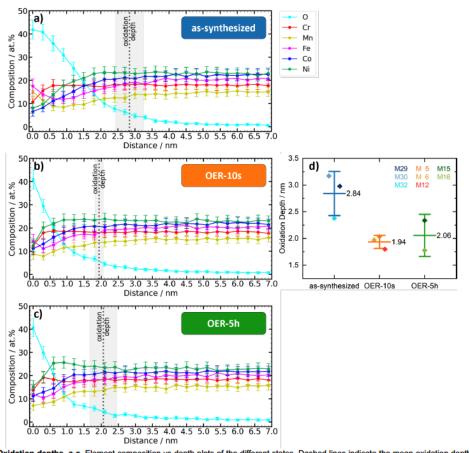


Fig. 3 | Oxidation depths. a-c, Element composition vs depth plots of the different states. Dashed lines indicate the mean oxidation depth with a standard deviation beyond which the oxygen content remains at low values. d, Plot of the oxidation depths of the different states with mean value and standard deviation. Each tip is represented by a different color.

V. Strotkötter, Y. Li, F. Lourens, A. Kostka, T. Löffler, W. Schuhmann, A. Ludwig (2024) *Self-Formation of Compositionally Complex Surface Oxides on High Entropy Alloys Observed by Accelerated Atom Probe Tomography: A Route to Sustainable Catalysts*, Materials Horizons, 11, 4932-4941, 10.1039/D4MH00245H

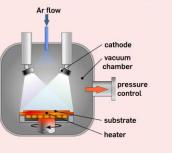
# **Summary and Outlook**

**Materials discovery** and optimization

**Consistent and complete multidimensional** data-sets of compositionally complex materials



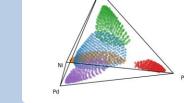
#### Synthesis of thin film materials libraries

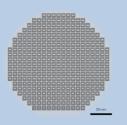




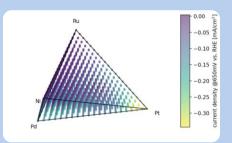
#### **High-throughput characterization**

- **EDX**
- **XRD**
- 4PP
- **SDC**

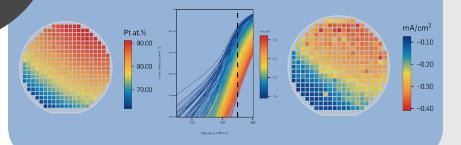




#### **Planning of next experiment**



Combinatorial **Materials** Science Loop



Start-up: xemX

Materials data management + materials informatics (AI agents) -> autonomous experimentation

Data analysis

# **Acknowledgments**

All group members All cooperation partners Funding from DFG, BMBF, ERC





